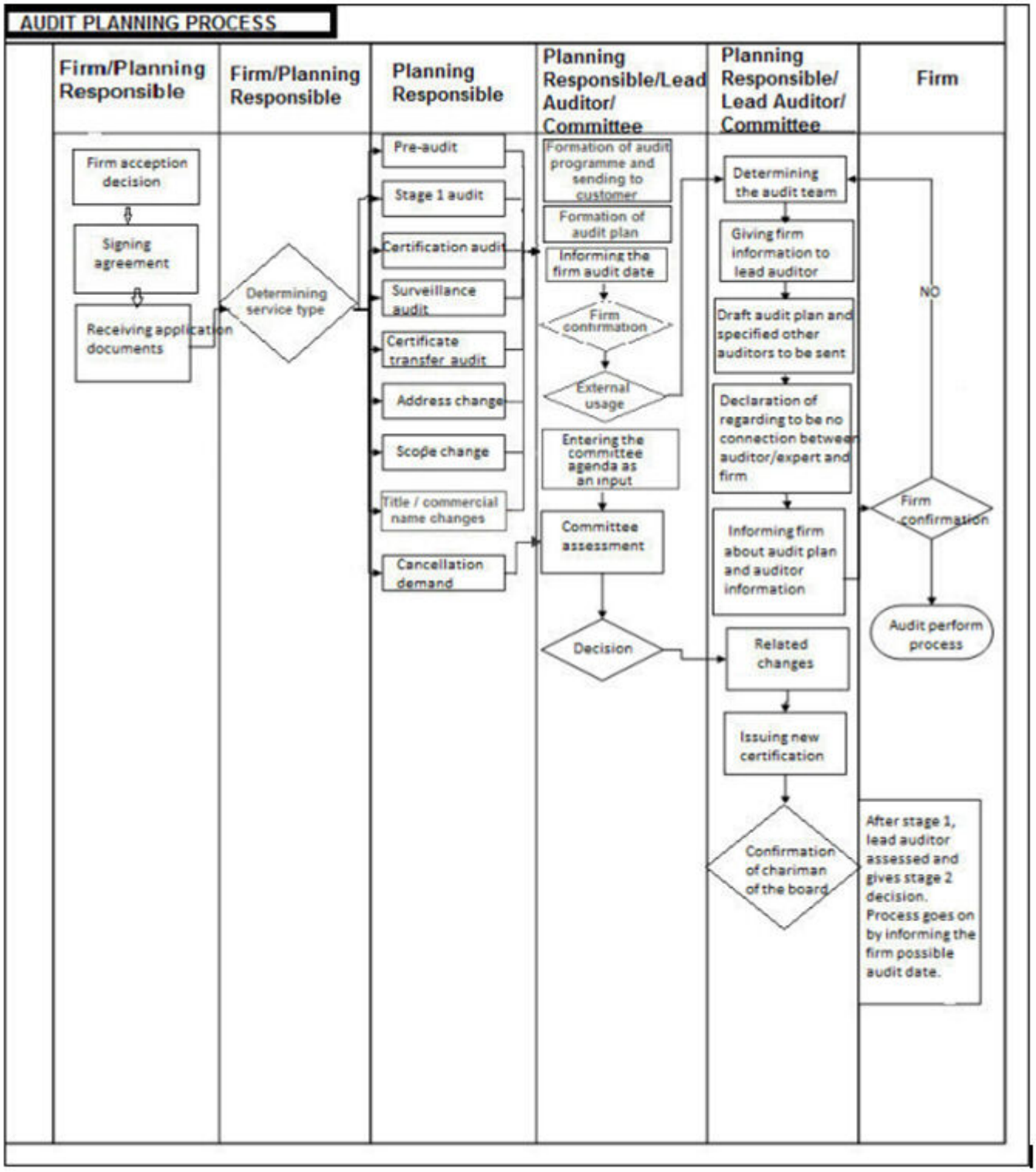
AUDIT PLANNING PROCESS
Firm/Planning Responsible
Firm/Planning Responsible
Planning Responsible
Planning Responsible/Lead Auditor/ Committee
Planning Responsible/ Lead Auditor/ Committee
Firm
Firm acception decision
Signing agreement
Receiving application documents
Determining service type
Pre-audit
Stage 1 audit
Certification audit
Surveillance audit
Certificate transfer audit
Address change
Scope change
Title / commercial name changes
Cancellation demand
Formation of audit programme and sending to customer
Formation of audit plan
Informing the firm audit date
Firm confirmation
External usage
Entering the committee agenda as an input
Committee assessment
Decision
Determining the audit team
Giving firm information to lead auditor
Draft audit plan and specified other auditors to be sent
Declaration of regarding to be no connection between auditor/expert and firm
Informing firm about audit plan and auditor information
Related changes
Issuing new certification
Confirmation of chariman of the board
NO
Firm confirmation
Audit perform process
After stage 1, lead auditor assessed and gives stage 2 decision. Process goes on by informing the firm possible audit date.